

APPENDIX C



CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 11, 2004

PTAS

PETER I. LIPPMAN
4385 OCEAN VIEW BLVD.
MONTROSE, CA 91020



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MAR 15 2004
COLEMAN SUDOL SAPONE, P.C.

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2003

REEL/FRAME: 013731/0071
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ORSER, CINDY

DOC DATE: 09/20/2002

ASSIGNOR:
DAVIDSON, EUGENE A.

DOC DATE: 09/20/2002

ASSIGNEE:
ARETE ASSOCIATES
5000 VAN NUYS BLVD.
SHERMAN OAKS, CALIFORNIA 91403

SERIAL NUMBER: 10161061
PATENT NUMBER:

FILING DATE: 05/30/2002
ISSUE DATE:

013731/0071 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Tab settings ☐ ☐ ☐ ☐

To the Honorable Commissioner of Patents and Tn.

RECORDAT
PA

02-11-2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

JUL 02 2007

document or copy thereof.

102360449

1. Name of conveying party(ies):

Cindy Orser

Eugene A. Davidson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: September 20, 2002

2. Name and address of receiving party(ies)

Name: Areté Associates

Internal Address: Suite 420

Street Address: 5000 Van Nuys Blvd.

City: Sherman Oaks State: CA ZIP: 91403

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/161,061

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter I. Lippman

Internal Address:

Street Address: 4385 Ocean View Blvd.

City: Montrose State: CA ZIP: 91020

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

12-11639

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Holly B. Aguilera

Name of Person Signing

Holly B. Aguilera

Signature

1/03/02

Date

02/10/2003 00000017 121639 1058108

01 FC:8021

40.00 CH

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We, CINDY ORSER, of 915 Ridge Drive, McLean, Virginia 22101, ANNE GROSSET, of 205 Route de Bardonnex, 1257 La Croix-de-Rozon, SWITZERLAND, and EUGENE A. DAVIDSON, of 5506 Nebraska Avenue NW, Washington, DC 20015, for a good and valuable consideration, have assigned and do hereby assign to ARETE ASSOCIATES, a corporation of California having its principal offices at 5000 Van Nuys Boulevard, suite 420, Sherman Oaks, California 91403, its successors, assigns and legal representatives, our entire right, title and interest in and to all subject matter invented by us and disclosed in the Application for United States patent filed May 30, 2002, given serial 10/161,061, and entitled:

"MISFOLDED PROTEIN SENSOR METHOD IN BODY FLUIDS",

and in and to all patents and all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure all said patents and rights, and request issuance of all said patents to the above assignee in accordance with this assignment.

September 20, 2002
date

Cindy Orser
Cindy Orser

September 20, 2002
date

Anne Grosset
Anne Grosset

September 20, 2002
date

Eugene A. Davidson
Eugene A. Davidson

District of Columbia
STATE OF VIRGINIA
COUNTY OF WASHINGTON, DC

On this 20th day of September, 2002, before me personally appeared CINDY ORSER, to me known or proved on the basis of satisfactory evidence to be the person whose name is subscribed on the foregoing instrument, and acknowledged to me that she executed the same.

Brenda Fay Garner
notary public

My Commission Expires
April 14, 2006

District of Columbia
STATE OF
COUNTY OF Washington, DC

On this 20th day of September, 2002, before me personally appeared EUGENE DAVIDSON, to me known or proved on the basis of satisfactory evidence to be the person whose name is subscribed on the foregoing instrument, and acknowledged to me that he executed the same.

Brenda Fay Garner
notary public

My Commission Expires
April 14, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): ORSER et al.
SERIAL NO.: 10/161,061
FILED: May 30, 2002
FOR: Misfolded Protein Sensor Method in Body Fluids
GROUP ART UNIT: 1754
EXAMINER:



M.S. Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF ASSIGNMENT RECORDATION

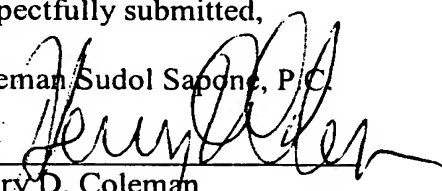
SIR:

Please correct the serial number (circled in red ink) on the Notice of Recordation of Assignment Document for the above-identified patent application. The serial number was correct on the Recordation Cover Sheet (10/161,061). Since this was not our error, we assume there is no charge for the correction.

Note that the law firm responsible for this patent application has changed to Coleman Sudol Sapone, P.C.

Respectfully submitted,

Coleman Sudol Sapone, P.C.

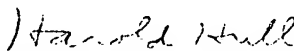
By: 
Henry D. Coleman

Reg. No. 32,559
714 Colorado Avenue
Bridgeport, Connecticut 06605-1601
(203)366-3560

Dated: March 3, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 3, 2004.


Harold Hull